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Introduction

Antimicrobial Resistance and Healthcare Associated Infection (ARHAI) Scotland, part of National Services Scotland, works closely with Public Health Scotland to deliver the COVID-19 response. This fourth release provides data for COVID-19 hospital onset mortality in Scotland for the period 7 March 2020 to 30 June 2021. A report for period 1 July 2020 to 30 September 2020 was not published due to the small number of COVID-19 cases during this time.

Nosocomial transmission of SARS-CoV-2 has contributed significantly to the overall burden of infection within hospital settings. Deaths occurring in patients with COVID-19 are an important measure of patient outcome. Therefore, monitoring COVID-19 mortality in hospital patients and publishing the data is critical in the development and monitoring of local and national improvement plans to improve patient outcomes, inform the development of infection prevention and control measures and remobilisation plans, shape policy and guide research. Further information on the epidemiology of COVID-19 in healthcare settings can be found on the Public Health Scotland website.

A report on COVID-19 hospital onset cases is published weekly and is available from: https://publichealthscotland.scot/publications/hospital-onset-covid-19-cases-in-scotland/

This report describes 28-day all-cause mortality in cases of COVID-19 that were identified during an inpatient stay in an NHS hospital in Scotland, including those cases which are thought to have developed the infection as a result of nosocomial transmission.

Main points

- Different methodologies are employed to measure mortality in cases of COVID-19.
 This report uses 28-day all-cause mortality in laboratory confirmed cases of COVID-19 rather than deaths where suspected or confirmed COVID-19 is listed on the death certificate. This methodology is aligned with the daily reporting of deaths by Public Health Scotland.
- Overall more than a quarter of patients who were diagnosed with COVID-19 during an inpatient stay died within 28 days of their first positive test for SARS-CoV-2.
 Nearly a third of patients who developed probable or definite hospital onset COVID-19 died within 28 days.
- Mortality was significantly lower across all categories of patients diagnosed with COVID-19 in hospital during April to June 2021, with the exception of the definite hospital onset cases where the small numbers make comparisons difficult.
- The difference in all-cause mortality between the onset categories can largely be explained by differences in the demographics of cases. Cases with probable or definite hospital onset COVID-19 are older than cases diagnosed in the first two days of admission to hospital and are likely to be sicker (co-morbidity data are not included in this report due to the lag in these data being available for the whole time period).
- After adjustment, patients who develop probable or definite hospital onset COVID-19 (day 8 of admission onwards) are at no greater risk of dying than inpatients who likely acquired SARS-CoV-2 prior to that admission and tested positive on day 1 or 2 of admission (non-hospital onset status).
- There is no evidence from these analyses that patients developing nosocomial COVID-19 are at an increased risk of death compared with other patients diagnosed with COVID-19 in hospital.
- After adjustment for the potential confounding effects of when the patient first tested
 positive during their admission (hospital onset status), age, sex and vaccination
 status, patients who were first diagnosed with COVID-19 in hospital had lower odds
 of death within 28 days in wave 2 compared with wave 1. Further modelling is
 required to adjust for other risk factors such as underlying co-morbidities that may
 have an effect on the comparison of nosocomial cases.
- The effect of the COVID-19 vaccine on preventing severe outcomes is evident in these analyses. Inpatients who had been vaccinated with either one or two doses had had lower odds of death within 28 days compared with those who had not been vaccinated. Protection from severe outcome by vaccination, particularly in hospital inpatients and those at risk of hospitalisation, is critical to reducing poor outcomes in patients who develop COVID-19, including nosocomial COVID-19.

- Older hospitalised patients are likely to have longer lengths of stay in hospital, increasing their risk of healthcare associated infection including COVID-19. These patients are also more likely to die from other causes and these are not distinguished in all-cause mortality estimates.
- Asymptomatic testing for SARS-CoV-2 has increased since the beginning of the
 pandemic. This will have increased case ascertainment including those with mild or
 asymptomatic disease who may have a lower risk of dying from COVID-19. It was
 not possible to distinguish between symptomatic cases and asymptomatic cases
 identified by testing policies, nor control for this during these analyses.
- Preventing transmission of SARS-CoV-2 is critical to reducing morbidity and mortality from COVID-19, particularly in older hospital patients. Infection prevention and control precautions and early detection and management of cases is vital in efforts to reduce the spread of SARS-CoV-2 in hospital settings.

Results and commentary

COVID-19 deaths by hospital onset status

A total of 15,887 cases of COVID-19 were diagnosed during an inpatient stay in Scotland between the first case identified in hospitals on the 7 March 2020 and 30 June 2021 which includes 679 cases for the period April 2021 to June 2021. The total number of these patients who died within 28 days (all-cause) for the period 7 March 2020 to 30 June 2021 was 4,152 (26.1%) which includes 66 deaths in the period April 2021 to June 2021.

Cases of COVID-19 were categorised based on date of first positive SARS-CoV-2 PCR test following admission to a health board. Patients where the first positive sample was taken on day 1 or 2 of admission were considered most likely to have acquired SARS-CoV-2 in the community. For patients where the first positive sample was taken on days 3-7 (indeterminate hospital onset), it is not possible to determine where acquisition was likely to have taken place, e.g. in the community or during their hospital stay. Patients where the first positive samples were taken on days 8-14 (probable hospital onset) and days 15+ (definite hospital onset) are likely to have acquired SARS-CoV-2 via nosocomial transmission within the health board.

A total of 1,675 patients with probable or definite hospital onset COVID-19 died within 28 days of their first positive sample (30.6%). Mortality was highest among patients with probable (30.8%) or definite hospital onset COVID-19 (30.5%) (Table 1). Mortality was significantly lower across all categories of patients diagnosed with COVID-19 in hospital (p<0.05), with the exception of the definite hospital onset cases where the small numbers make comparisons difficult. This most likely reflects the effect of the vaccination programme. These comparisons are not adjusted for confounding and should be interpreted with some caution.

Cases and deaths included in each pandemic wave (wave 1: specimen date $\leq 26/07/2020$; wave 2: specimen date $\geq 26/07/20$ & $\leq 16/05/21$; wave 3 $\geq 16/05/2021$) are shown in Table 2. The number of COVID-19 cases and deaths are small in the wave 3 time period so comparisons should be treated with caution.

The distribution of 28-day all-cause mortality by age and sex for each of the hospital onset categories is described in <u>Table 3</u>. The highest unadjusted all-cause mortality was reported in male patients and in older age groups, where risk of death increased with increasing age. This is in line with the outputs from the logistic regression model described below (<u>Appendix 3</u>). These data are unadjusted for underlying co-morbidity and other risk factors and therefore should be interpreted with due caution. The median age of patients who died following a probable or definite hospital onset COVID-19 diagnosis (79 years) was significantly higher than those patients with likely community acquisition (day 1 or 2 of admission) (70 years, unadjusted p<0.001).

A logistic regression model was developed to adjust for potential confounding between the onset categories (<u>Appendix 3</u>). The model included all cases of COVID-19 identified during an inpatient hospital stay and the reference group was selected as those cases thought most likely to have acquired the infection in the community (day 1 or 2 of admission). The

pandemic wave was included as survival may have improved as knowledge of the course of the infection improved, or the severity of disease changed over time.

After adjustment for the confounding effects of age, sex, vaccination status and pandemic wave, there was no significant difference between all-cause mortality in cases of indeterminate, probable and definite hospital onset COVID-19 compared with patients diagnosed with COVID-19 during the first 2 days of their admission to the health board (where the inpatient most likely acquired the virus in the community). Age, sex, vaccination status and pandemic wave were all significantly associated with 28-day all-cause mortality. The model results are presented in Appendix 3. All-cause mortality was lower in wave 1 compared with wave 2, after adjustment for case mix (age, sex, vaccination status, where patient likely acquired the virus based on hospital onset status). This was not seen when comparing wave 1 to wave 3, however the number of COVID-19 cases and deaths are small in this time period so comparisons should be treated with caution. Patients who had been vaccinated with either one or two doses had had lower odds of death within 28 days compared with those who had not been vaccinated (p<0.001) however, further modelling is required to adjust for other risk factors such as underlying co-morbidities that may have an effect on this result.

The introduction of the vaccination programme has reduced the risk of severe COVID-19 and the risk of dying. Inpatients who had been vaccinated with either one or two doses had had lower odds of death within 28 days compared with those who had not been vaccinated. Protection from severe outcome by vaccination, particularly in hospital inpatients and those at risk of hospitalisation, is critical to reducing poor outcomes in patients who develop COVID-19, including nosocomial COVID-19.

On 19th May, the Delta variant of concern became the dominant strain circulating in Scotland.¹ This has been associated with increased risk of COVID-19 hospital admissions when compared to the Alpha strain.¹ Any future shifts in dominant strains with changes to severity of disease have implications for mortality outcome analyses and should be considered. The inclusion of pandemic waves in the model may also act as a proxy for changes in the predominant variants and associated changes in transmissibility and outcome. Further consideration for the effects of changing dominant strains may be required in future mortality analyses.

There are some limitations and caveats to these modelling analyses that must be considered in the interpretation. Age, sex, vaccination status and pandemic wave are currently the only risk factors with comprehensive data available and further modelling to adjust for other risk factors such as underlying co-morbidities will be undertaken in future analyses. Cases who are in the probable and definite hospital onset category have a longer length of stay prior to developing COVID-19. This is indicative of underlying medical conditions which will be a risk factor for mortality, and some of these patients may have died irrespective of COVID-19. Similarly, it is not known if some of the patients in the non-hospital onset category (day 1 or 2 of admission) were emergency admissions due to a severe COVID-19 infection which has implications for the comparison with the probable and definite hospital onset mortality. This group may also include patients who were readmitted following a prior stay in hospital where acquisition could have occurred rather

than in the community. Further characterisation of this group is underway to describe patients readmitted to hospital after a recent discharge. Additionally, asymptomatic testing for SARS-CoV-2 has increased since the beginning of the pandemic. This will have increased case ascertainment including those with mild or asymptomatic disease who may have a lower risk of dying from COVID-19. This may affect comparisons in all-cause mortality across all onset groups and in particular the day 1 or 2 onset cases due to increased admission testing (though serial asymptomatic testing has been in place in some areas). It was not possible to distinguish between symptomatic cases and asymptomatic cases identified by testing policies and control for this during these analyses.

National Services Scotland

Table 1: COVID-19 case all-cause mortality within 28 days by onset status and reporting period in Scotland overall: specimen dates up to 30 June 2021. 1,2,3

Hospital onset status	Mar 2020- Mar 2021 Mortality within 28 days (n)	Mar 2020- Mar 2021 Mortality within 28 days (%)	Mar 2020- Mar 2021 Total Cases	Apr-Jun 2021 Mortality within 28 days (n)	Apr-Jun 2021 Mortality within 28 days (%)	Apr-Jun 2021 Total Cases	Total Mortality within 28 days (n)	Total Mortality within 28 days (%)	Total Cases
Non-hospital onset (day 1 or 2 of admission)	2,093	24.5%	8,544	45	8.2%	547	2,138	23.5%	9,091
Indeterminate hospital onset (days 3-7)	334	26.4%	1,267	5	9.4%	53	339	25.7%	1,320
Probable hospital onset (days 8-14)	522	31.2%	1,671	3	9.4%	32	525	30.8%	1,703
Definite hospital onset (days 15+)	1,137	30.5%	3,726	13	27.7%	47	1,150	30.5%	3,773
Scotland	4,086	26.9%	15,208	66	9.7%	679	4,152	26.1%	15,887

^{1.} Source of data is Electronic Communication of Surveillance in Scotland (ECOSS) data, the Rapid Admission Preliminary Inpatient Data (RAPID) data or local admission data, and National Records of Scotland (NRS).

^{2.} The data used has not been adjusted for potential factors that may affect mortality, e.g. severity of COVID-19 disease and patient comorbidities.

^{3.} Cases diagnosed in the community (not during an inpatient stay) were excluded from these analyses.

National Services Scotland

Table 2: COVID-19 case all-cause mortality within 28 days by onset status and pandemic wave in Scotland overall: specimen dates up to 30 June 2021. 1,2,3

Hospital onset status		Wave 1 Mortality within 28 days (%)		Mortality within 28	Wave 2 Mortality within 28 days (%)		Wave 3 Mortality within 28 days (n)	Wave 3 Mortality within 28 days (%)	Wave 3 Total Cases	Total Mortality within 28 days (n)	Total Mortality within 28 days (%)	Total Cases
Non-hospital onset (day 1 or 2 of admission)	947	26.8%	3,536	1,155	22.3%	5,179	36	9.6%	376	2,138	23.5%	9,091
Indeterminate hospital onset (days 3-7)	73	23.9%	306	262	26.8%	978	4	11.1%	36	339	25.7%	1,320
Probable hospital onset (days 8-14)	99	35.7%	277	424	30.2%	1,402	2	8.3%	24	525	30.8%	1,703
Definite hospital onset (days 15+)	342	31.7%	1,078	798	30.0%	2,659	10	27.8%	36	1,150	30.5%	3,773
Scotland	1,461	28.1%	5,197	2,639	25.8%	10,218	52	11.0%	472	4,152	26.1%	15,887

^{1.} Source of data is Electronic Communication of Surveillance in Scotland (ECOSS) data, the Rapid Admission Preliminary Inpatient Data (RAPID) data or local admission data, and National Records of Scotland (NRS).

^{2.} The data used has not been adjusted for potential factors that may affect mortality, e.g. severity of COVID-19 disease and patient comorbidities.

^{3.} Cases diagnosed in the community (not during an inpatient stay) were excluded from these analyses.

National Services Scotland

Table 3: COVID-19 case all-cause mortality within 28 days, by onset status, age group and sex: specimen dates up to 30 June 2021. 1,2,3,4

Age Group / Hospital onset status	Female mortality (n)	Female cases (n)	Female mortality (%)	Male mortality (n)	Male cases (n)	Male mortality (%)	Total mortality (n)	Total cases (n)	Total mortality (%)
0-24	3	272	1.1%	1	261	0.4%	4	533	0.8%
Non-Hospital Onset	3	225	1.3%	1	224	0.4%	4	449	0.9%
Indeterminate Hospital Onset	0	18	0.0%	0	16	0.0%	0	34	0.0%
Probable Hospital Onset	0	5	0.0%	0	4	0.0%	0	9	0.0%
Definite Hospital Onset	0	24	0.0%	0	17	0.0%	0	41	0.0%
25-44	14	545	2.6%	18	512	3.5%	32	1,057	3.0%
Non-Hospital Onset	9	433	2.1%	11	409	2.7%	20	842	2.4%
Indeterminate Hospital Onset	1	43	2.3%	3	37	8.1%	4	80	5.0%
Probable Hospital Onset	1	26	3.8%	2	20	10.0%	3	46	6.5%
Definite Hospital Onset	3	43	7.0%	2	46	4.3%	5	89	5.6%
45-64	183	1,456	12.6%	265	1,831	14.5%	448	3,287	13.6%
Non-Hospital Onset	108	1,023	10.6%	172	1,308	13.1%	280	2,331	12.0%
Indeterminate Hospital Onset	19	109	17.4%	15	126	11.9%	34	235	14.5%
Probable Hospital Onset	20	98	20.4%	28	143	19.6%	48	241	19.9%
Definite Hospital Onset	36	226	15.9%	50	254	19.7%	86	480	17.9%
65-74	317	1,363	23.3%	517	1,821	28.4%	834	3,184	26.2%
Non-Hospital Onset	183	783	23.4%	314	1,082	29.0%	497	1,865	26.6%
Indeterminate Hospital Onset	19	102	18.6%	35	152	23.0%	54	254	21.3%
Probable Hospital Onset	31	152	20.4%	54	177	30.5%	85	329	25.8%
Definite Hospital Onset	84	326	25.8%	114	410	27.8%	198	736	26.9%
75-84	602	2,136	28.2%	899	2,263	39.7%	1,501	4,399	34.1%
Non-Hospital Onset	296	1,037	28.5%	475	1,187	40.0%	771	2,224	34.7%
Indeterminate Hospital Onset	47	182	25.8%	68	207	32.9%	115	389	29.6%
Probable Hospital Onset	78	278	28.1%	110	275	40.0%	188	553	34.0%
Definite Hospital Onset	181	639	28.3%	246	594	41.4%	427	1,233	34.6%
85+	657	2,002	32.8%	676	1,423	47.5%	1,333	3,425	38.9%
Non-Hospital Onset	275	771	35.7%	291	607	47.9%	566	1,378	41.1%
Indeterminate Hospital Onset	72	197	36.5%	60	131	45.8%	132	328	40.2%
Probable Hospital Onset	105	319	32.9%	96	206	46.6%	201	525	38.3%
Definite Hospital Onset	205	715	28.7%	229	479	47.8%	434	1,194	36.3%
Grand Total	1,776	7,774	22.8%	2,376	8,111	29.3%	4,152	15,885	26.1%

^{1.} Source of data is Electronic Communication of Surveillance in Scotland (ECOSS) data, the Rapid Admission Preliminary Inpatient Data (RAPID) data or local admission data, and National Records of Scotland (NRS).

^{2.} The data used has not been adjusted for potential factors that may affect mortality, e.g. severity of COVID-19 disease and patient comorbidities.

^{3.} Cases diagnosed in the community (not during an inpatient stay) were excluded from these analyses.

^{4.} Two Non-Hospital onset cases excluded due to unknown age

Comparison with other mortality data in Scotland

Over the course of the pandemic, nearly a third of cases of hospital onset COVID-19 (probable and definite) died within 28 days of the first positive specimen (30.5%). Cases who are in the probable and definite category have, by the design of the case definition, a longer length of stay prior to developing COVID-19. This is indicative of underlying medical condition which will also be a risk factor for mortality, and some of these patients may have died irrespective of COVID-19. Additionally, patients receiving longer term treatment for COVID-19 dying more than 28 days after a positive test are not included in 28-day all-cause mortality. It is not possible to quantify this with the data currently available, and comparison with other published mortality data is difficult due to the different ways in which the deaths are defined and the populations in which they are reported.

All-cause mortality data is available for other infection types commonly associated with nosocomial infection. In 2018, nearly a fifth of cases (18.2%) of *Staphylococcus aureus* bacteraemia; 10.9% of *Clostridioides difficile* cases aged 15 years and older; and 13.7% of cases of *Escherichia coli* bacteraemia had died within 30 days of their diagnosis.² These mortality estimates are not directly comparable as the population of cases will include hospitalised and non-hospitalised cases and the duration of follow up differs (i.e. 28-day vs 30-day all-cause mortality).

The COVID-19 analyses are restricted to hospitalised patients and it would be anticipated that these patients are sicker and require hospital care. Crude mortality rates in Scotland, used in the calculation of hospital standardised mortality ratios, for January 2020 to March 2021 indicate that 4.9% and 11.1% of patients aged 60-79 years and 80+ years, respectively, die within 30 days of an admission to hospital.³ A UK study of mortality in nosocomial COVID-19 in older people reported that 27.0% of cases of nosocomial COVID-19 (diagnosed 15 or more days after admission) had died within seven days.⁴ After adjustment, nosocomial mortality was reduced compared with community acquired COVID-19 in hospitalised patients.

Any comparisons between differing mortality measures must be treated with caution. All-cause mortality includes deaths where COVID-19 may not have been either the underlying or contributory cause of death. In addition, deaths due to COVID-19 infection of long duration will be underestimated in 28-day all-cause mortality, e.g. cases who have died more than 28 days after their first positive sample would not be included using 28-day all-cause mortality. All-cause mortality is not subject to the same biases as death certification that are introduced as a result of subjectivity or changes in the way deaths were registered during the early stages of the pandemic. In addition, death certification includes cases of presumed COVID-19 without a positive SARS-CoV-2 test and these are not included in 28-day all-cause mortality measure.

Implications for improved outcomes and infection prevention

Within Scotland and across the UK there are a number of organisations reviewing the evidence, contributing to the research and ensuring that key measures are reflected in the COVID-19 national response guidance.

As 28-day all-cause mortality is no different in patients admitted to hospital with likely community acquisition to those who develop nosocomial COVID-19 (probable or definite hospital onset), preventing transmission of SARS-CoV-2 in all settings is critical to reducing morbidity and mortality from COVID-19. Further work relating to the specialty or setting where these cases are being cared for will be included in future analyses. These will be essential for developing local and national focused improvement plans.

A continued focus on the broader public health interventions, including maximising vaccination uptake across all settings, along with the application of infection prevention and control precautions in line with current guidance will reduce the risk of transmission. In healthcare settings, early detection and appropriate management through testing of symptomatic patients and screening of asymptomatic patients with early identification of any contacts, particularly when there is an unexpected case or outbreak, is vital in efforts to reduce the spread of SARS-CoV-2 in these settings.

References

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Contact

Laura Imrie Clinical Lead, Antimicrobial Resistance and Healthcare Associated Infections (ARHAI) Scotland NHS National Services Scotland

Phone: 0141 300 1922

Email: nss.hpshaiic@nhs.scot

Further information

Further Information can be found on the HPS website.

For more information on types of infections included in this report, please see the COVID-19 pages on the HPS website.

The next release of this publication will be subject to additional cases in the intervening period.

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Appendices

Appendix 1 – Publication metadata

Metadata indicator	Description
Publication title	Hospital onset COVID-19 mortality in Scotland
Description	This release provides information on hospital onset COVID-19 mortality, there is a need for consistent reporting using standardised case definitions.
Theme	Infections in Scotland
Topic	COVID-19
Format	Word document
Data source(s)	COVID-19 Cases:
	Case data source: Electronic Communication of Surveillance in Scotland (ECOSS)
	Admissions data Source: Rapid Admission Preliminary Inpatient Data (RAPID) or Local Patient Admissions Systems
	Mortality data source: National Records of Scotland (NRS) via Corporate Data Warehouse
Date that data are acquired	3 August 2021
Release date	25 August 2021
Frequency	Quarterly (subject to additional cases in the intervening period).
Timeframe of data and timeliness	Timeframe of this publication was decided by first positive sample in Scotland which fell into anyone of the hospital onset categories (i.e. 7 March 2020)
	The latest iteration of data is 30 June 2021, therefore the data are 7 or 8 weeks in arrears.
	No report was produced in November 2020 to allow sufficient data to accumulate for information governance needs and analysis to be done since the period of the last published report (March-June 2020).
Continuity of data	Subject to additional cases in the intervening period.
Revisions statement	These data are not subject to planned major revisions. However, ARHAI Scotland aims to continually improve the interpretation of the data and therefore analysis methods are regularly reviewed and may be updated in the future.

Revisions relevant to this publication

Hospital onset data are continually validated by NHS boards, NRS and within the ECOSS laboratory database. Any changes to cases taken within hospital settings, which are validated by NHS boards, are tracked by ARHAI Scotland. Changes to retrospective mortality data for the hospital onset groups are outlined in the table below if applicable.

Hospital onset mortality as previously reported (26 May 2021)	Hospital onset mortality as currently reported	Reason
1,268 indeterminate hospital onset, 1,672 probable hospital onset, and 3,725 definite hospital onset with mortality identified for time period March 2020- March 2021	1,267 indeterminate hospital onset, 1,671 probable hospital onset, and 3,726 definite hospital onset with mortality identified for time period March 2020- March 2021	Changes to the hospital onset status of COVID-19 cases (see revisions included in the weekly Hospital Onset COVID-19 report for full details), impacts whether cases, and therefore any deaths associated with these cases, are included in this report.

COVID-19 reporting processes were updated by Public Health Scotland that has resulted in changes to the previous published data. Please see the Public Health Scotland website for more information. These changes are currently being investigated and may result in updates to the data included in future reports.

Concepts and definitions

The transmission of COVID-19 is thought to occur mainly through respiratory droplets and through contact with contaminated surfaces. Further information on the epidemiology of COVID-19 in healthcare settings can be found on the Public Health Scotland website. As sustained community transmission has occurred as the pandemic has progressed, it has become more challenging to identify true cases of hospital transmission.

A system for monitoring COVID-19 is critical to tracking nosocomial transmission in healthcare settings to inform infection, prevention and control measures.

Deaths occurring in patients with COVID-19 are an important measure of patient outcome. Therefore, monitoring COVID-19 mortality in hospital patients and publishing the data is critical to improve care of patients, inform the development of infection prevention and control measures, shape policy and guide research.

The data provided are national data for Scotland representing the 14 NHS boards and one NHS special health board.

The agreed nosocomial case definition for the UK is based on the number of days since admission to an NHS health board to the date of specimen sampling for a positive SARS-CoV-2 RT-PCR test. Time since admission to specimen sampling is categorised as:

community onset (first positive specimen taken in the community)

- non-hospital onset (first positive specimen on day 1 or 2 of admission to NHS board);
- indeterminate (first positive specimen on days 3 to 7 of admission to NHS board);
- probable (first positive specimen on days 8 to 14 of admission to NHS board); and
- definite hospital onset (first positive specimen date was 15 or more days after admission to NHS board).

Note that for the purposes of this report, cases diagnosed in the community (not during an inpatient stay) were excluded from these analyses to restrict the comparisons within the hospitalised patient population.

These definitions are necessary due to the maximum incubation period of 14 days for COVID-19 (see table below):

Day of sampling post admission	Nosocomial categorisation			
Before admission	Community onset COVID-19 (not included in this report)			
Day 1 of admission/on admission to NHS board	Non-hospital onset COVID-19			
Day 2 of admission	Non-hospital onset COVID-19			
Day 3 of admission	Indeterminate hospital onset COVID- 19			
Day 4 of admission	Indeterminate hospital onset COVID- 19			
Day 5 of admission	Indeterminate hospital onset COVID- 19			
Day 6 of admission	Indeterminate hospital onset COVID-19			
Day 7 of admission	Indeterminate hospital onset COVID-19			
Day 8 of admission	Probable hospital onset COVID-19			
Day 9 of admission	Probable hospital onset COVID-19			
Day 10 of admission	Probable hospital onset COVID-19			
Day 11 of admission	Probable hospital onset COVID-19			
Day 12 of admission	Probable hospital onset COVID-19			
Day 13 of admission	Probable hospital onset COVID-19			
Day 14 of admission	Probable hospital onset COVID-19			
Day 15 of admission and onwards to discharge	Definite hospital onset COVID-19			
Post discharge	Community onset COVID-19 (not included in this report)			

The hospital onset cases in this report represent cases presenting in hospital and do not include COVID-19 associated with hospital care that present on readmission to hospital or post-discharge.

Start point of duration

Admission to health board was agreed as the appropriate point to start counting the duration of hospital stay to first positive specimen date, rather than the date of admission to a single hospital, since patients can be transferred between hospitals which would lead to restarting the clock to 'day 1' each time and therefore underestimating the number of nosocomial infections.

Any discharges and re-admissions which occur within the same calendar day will be classed as a continuous stay; the clock will not be restarted in these instances, only when a readmission occurs on the second day or more after any discharge.

For definite, probable, indeterminate and non-hospital onset (day 1 or 2 of in-patient stay), the NHS board reported is where the first sample was taken, established either using Rapid Admission Preliminary Inpatient Data (RAPID) data and validated by the boards, or using individual NHS board's internal admissions systems. Since the definition of hospital-onset COVID-19 was determined using date of admission to NHS board, the board assigned may not represent the board of attribution of hospital-onset COVID-19 infection (Table above).

Minimum data required for hospital onset COVID-19 cases to be validated:

- CHI number
- Date of positive SARS-CoV-2 RT-PCR test
- Date of admission to health board when patient tested positive for COVID-19
- NHS board where first positive test undertaken

Mortality definition

In this report, all-cause mortality within 28 days of the COVID-19 diagnosis (laboratory specimen date) is used. Therefore, the data includes deaths where COVID-19 may not have been either the underlying or contributory cause of death. All-cause mortality depends solely on the number of deaths identified, and is not subject to bias that may be introduced as a result of inaccuracies in completion of the death certificate or coding of the cause of death. Using 28-days as the time period makes the assumption that most deaths related to COVID-19 will occur within this timeframe. Deaths occurring after this time period are more difficult to assess as being specifically related to COVID-19, though they are known to occur. Therefore, care should be taken when interpreting this data and when comparing published data on COVID-19 mortality that use different definitions.

Wave 1/Wave 2/Wave 3 definition

The 26th of July 2020 was chosen as an arbitrary cut-off signifying the end of Wave 1 in Scotland, since cases of Hospital onset COVID-19 were at their lowest point over the course of the pandemic. Data from the 27th July 2020 onwards are considered Wave 2. Wave 2 was further split into wave 2a and wave 2b at the point when there was a second surge in cases in the second wave. These are (>26/07/20 & ≤27/12/20) and (>27/12/20) respectively. Data from the 17th May 2021 onwards are considered Wave 3.

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Surveillance data are essential for monitoring trends and assisting in outbreak investigations and to understand the extent of ongoing transmission within the hospital setting. HPS offers support to NHS boards across Scotland to aid their local COVID-19 prevention strategies.
It is acknowledged that patients can be transferred between NHS health boards and if transferred into a different health board during the same hospital stay, then the clock would be restarted to 'day 1' which could lead to an underestimation of cases. However, the decision to restrict start date to admission to a single NHS health board represents the requirement to report at the health board-level. Any discharges and re-admissions which occur within the same calendar day will be overlooked the clock will not be restarted in these instances, only when a readmission occurs on the second or more day after any discharge.
COVID-19 cases identified after discharge from hospital but within 14 days may be associated with the hospital. These cases, including those identified on readmission to hospital, are not included as hospital onset. This may result in under-reporting of COVID-19 cases associated with hospital care.
All-cause mortality depends solely on the number of deaths identified, and is not subject to bias that may be introduced as a result of inaccuracies in completion of the death certificate or coding of the cause of death.
Surveillance data are collected using the ECOSS system that allows data collectors in NHS boards to validate ECOSS records as well as identifying additional cases that may not be included in the Electronic Communications of Surveillance in Scotland (ECOSS) system. This therefore means that completeness is near to 100%. For mortality data, sufficient time is allowed for all cases to be followed up for 28 days. Some delays in reporting of death may occur but this will be minimal and completeness is near to 100%.
The agreed nosocomial case definition for the UK has been adopted to allow comparison across the four nations. However, geographical differences for example NHS board versus NHS Trust have to be considered.
The case numbers presented here are only for those COVID-19 cases who are positive as inpatients with an admission to an NHS hospital, i.e. community cases are excluded. Additionally, the end dates are different to that of the weekly report (i.e. week end 4 th July 2021 vs. 30th June 2021). The data are therefore not wholly comparable with the weekly reporting of hospital onset cases. https://publichealthscotland.scot/publications/hospital-onset-covid-19-cases-in-scotland/
Deaths within this report are taken from the same data source used by Public Health Scotland (PHS) in their daily reported deaths, therefore comparisons are possible between these two sources. However, it should be noted that this report includes data until 30th June 2021 using COVID-19 specimen date, with deaths followed-up until 28th July (28 days later), whereas Publish Health Scotland report using date of death. Therefore, comparisons between each surveillance system should be treated with caution.
It is the policy of HPS to make its web sites and products accessible according to published guidelines.
Tables and charts are accessible via the HPS website at: https://www.hps.scot.nhs.uk/a-to-z-of-topics/covid-19/hospital-onset-covid-19-cases-in-scotland/

Value type and unit of measurement	At national level, the number and proportion of COVID-19 cases who died within 28-days (all-cause) of a COVID-19 diagnosis are classed as definite hospital onset, probable hospital onset, indeterminate hospital onset, and non-hospital onset. The data are further broken down by age group and sex. For adjusting the data to account for confounding, pandemic wave is also included in combination with age group, sex and hospital onset status.
Disclosure	The HPS protocol on Statistical Disclosure Control Protocol is followed.
Official Statistics designation	Management Information
UK Statistics Authority Assessment	Not assessed
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Appendix 2 - Early access details

Pre-Release Access

Under terms of the "Pre-Release Access to Official Statistics (Scotland) Order 2008", ARHAI Scotland is obliged to publish information on those receiving Pre-Release Access ("Pre-Release Access" refers to statistics in their final form prior to publication). The standard maximum Pre-Release Access is five working days. Shown below are details of those receiving standard Pre-Release Access.

Standard Pre-Release Access:

Scottish Government Health Department

NHS board Chief Executives

NHS board Communication leads

Appendix 3 - Model results for adjusted hospital onset COVID-19 mortality (all-cause at 28 days) – wave 1 (\leq 26/07/2020), wave 2 (\geq 26/07/2020 & \leq 16/05/2021) and wave 3 (\geq 16/05/2021).

Univariable and multivariable logistic regression results on 28-day all-cause mortality outcome of COVID-19 cases.^{1,2}

Variable		Alive within 28 days (%)	Mortality within 28 days (%)	OR³ (univariable)	OR³ (multivariable)
Sex	F	5998 (77.2)	1776 (22.8)	- 1.40 (1.30- 1.50,	- 1.55 (1.44- 1.68,
	M	5735 (70.7)	2376 (29.3)	p<0.001)	p<0.001)
Age group (years)	0-49	1979 (96.3)	77 (3.7)	- 3.42 (2.62- 4.51,	- 3.29 (2.51- 4.35,
	50-59	1511 (88.3)	201 (11.7)	p<0.001) 6.94 (5.45- 8.96,	p<0.001) 6.66 (5.22- 8.63,
	60-69	1866 (78.7)	504 (21.3)	p<0.001) 10.89 (8.65-	p<0.001) 10.80 (8.54-
	70-79	2813 (70.2)	1192 (29.8)	13.92, p<0.001) 15.71	13.86, p<0.001)
	80+	3564 (62.1)	2178 (37.9)	(12.52- 20.00, p<0.001)	16.38 (12.99- 20.98, p<0.001)
Hospital onset status	Non-hospital onset	6951 (76.5)	2138 (23.5)	- 1.12 (0.98-	- 0.94 (0.82-
	Indeterminate hospital onset	981 (74.3)	339 (25.7)	1.28, p=0.085) 1.45 (1.29-	1.08, p=0.411) 1.08 (0.96-
	Probable hospital onset	1178 (69.2)	525 (30.8)	1.62, p<0.001) 1.43 (1.31-	1.22, p=0.214) 1.03 (0.94-
	Definite hospital onset	2623 (69.5)	1150 (30.5)	1.55, p<0.001)	1.13, p=0.543)
Pandemic wave	Wave 1 (≤26/07/20)	3736 (71.9)	1461 (28.1)	-	-
	Wave 2 (>26/07/20 & ≤16/05/21)	7577 (74.2)	2639 (25.8)	0.89 (0.83- 0.96, p=0.002) 0.32 (0.23-	0.88 (0.81- 0.95, p=0.001) 0.87 (0.55-
	Wave 3 (>16/05/21)	420 (89.0)	52 (11.0)	0.42, p<0.001)	1.35, p=0.551)

Vaccination status	Unvaccinated	11164 (73.5)	4016 (26.5)	-	_
		,	, ,	0.72 (0.58- 0.90,	0.61 (0.48-
	1st Dose	395 (79.3)	103 (20.7)	p=0.004)	0.76, p<0.001)
	100 2000			0.53 (0.36- 0.76,	0.45 (0.26- 0.78,
	2nd Dose	174 (84.1)	33 (15.9)	p=0.001)	p=0.005)

¹ Note that for the purposes of this report, cases diagnosed in the community (not during an inpatient stay) were excluded from these analyses to restrict the comparisons within the hospitalised patient population.

 $^{^{\}rm 2}$ Two Non-Hospital onset cases excluded due to unknown age.

³ OR = Odds ratio.