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Introduction

Antimicrobial Resistance and Healthcare Associated Infection (ARHAI) Scotland, part of National Services Scotland works closely with Public Health Scotland to deliver under the Health Protection Scotland (HPS) COVID-19 response. This release provides data for COVID-19 hospital onset cases in Scotland for week ending 1 March 2020 to week ending 3 January 2021.

A system for monitoring COVID-19 is critical to tracking hospital transmission and will inform infection prevention and control measures. The published data can be used to improve care of patients. The transmission of COVID-19 is thought to occur mainly through respiratory droplets generated by coughing and through contact with contaminated surfaces. As sustained community transmission has occurred as the pandemic has progressed, it has become more challenging to identify true cases of hospital transmission. Further information on the epidemiology of COVID-19 in healthcare settings can be found on the Health Protection Scotland website.

ARHAI Scotland is working with NHS boards to validate COVID-19 hospital onset cases to ensure the data are robust.

Data are provided for the 14 NHS boards and one NHS special health board.



Results and Commentary

Breakdown of COVID-19 cases by hospital onset status - cumulative data

The total number of COVID-19 cases reported to ARHAI Scotland, with specimen dates up to 3 January 2021, was 138,266. Of these:

- 127,501 (92.2%) were reported as community onset (first positive specimen taken in the community).
- 6,489 (4.7%) were reported as non-hospital onset (first positive specimen on day 1 or 2 of in-patient admission to NHS board).
- 820 (0.6%) were reported as indeterminate hospital onset (first positive specimen on days 3 to 7 of admission to NHS board).
- 1,032 (0.7%) were reported as probable hospital onset (first positive specimen on days 8 to 14 of admission to NHS board).
- 2,424 (1.8%) were reported as definite hospital onset (first positive specimen date was 15 or more days after admission to NHS board).

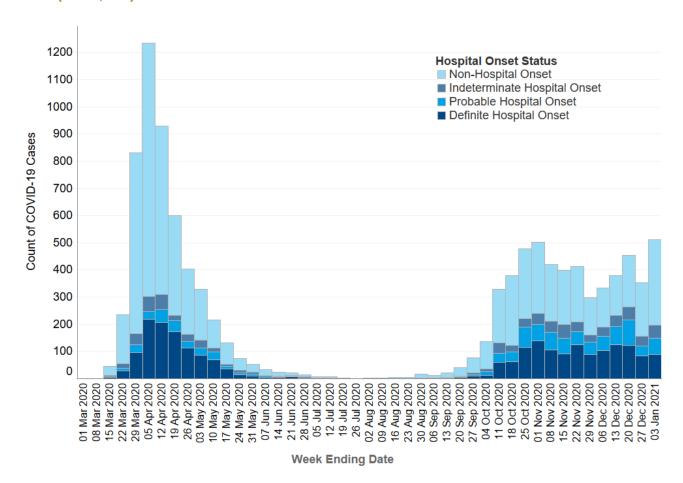
Breakdown of COVID-19 cases by hospital onset status - weekly data

The total number of COVID-19 cases reported to ARHAI Scotland this week (week ending 3 January 2021) was 15,934. Of these:

- 15,422 (96.8%) were reported as community onset (first positive specimen taken in the community). In the previous week (week ending 27 December 2020) there were 7,933 (95.8%).
- 314 (2.0%) were reported as non-hospital onset (first positive specimen on day 1 or 2 of admission to NHS board). In the previous week (week ending 27 December 2020) there were 196 (2.4%).
- 48 (0.3%) were reported as indeterminate hospital onset (first positive specimen on days 3 to 7 of admission to NHS board). In the previous week (week ending 27 December 2020) there were 36 (0.4%).
- 62 (0.4%) were reported as probable hospital onset (first positive specimen on days 8 to 14 of admission to NHS board). In the previous week (week ending 27 December 2020) there were 37 (0.4%).
- 88 (0.6%) were reported as definite hospital onset (first positive specimen date was 15 or more days after admission to NHS board). In the previous week (week ending 27 December 2020) there were 83 (1.0%).



Figure 1: Epidemic curve of COVID-19 cases with first positive specimen taken during an inpatient stay, by onset status: week-ending 1 March to week-ending 3 January 2021 (n=10,765). 1,2



- 1. Source of data is Electronic Communication of Surveillance in Scotland (ECOSS) data and Rapid Admission Preliminary Inpatient Data (RAPID) data or local admission data.
- 2. The community cases are not represented is this chart as first sample was not taken in hospital setting.



Table 1: Number of COVID-19 cases, by onset status and NHS board: specimen dates up to 3 January 2021.^{1,2,3}

NHS board	Total COVID- 19 cases	Non- hospital onset	Indeterminate hospital onset cases	Probable hospital onset	Definite hospital onset	Non- hospital onset	Indeterminate hospital onset cases	hospital onset	Definite hospital onset
	(n)	(n)	(n)	cases (n)	cases (n)	(%)	(%)	cases (%)	cases (%)
Ayrshire & Arran	10,133	546	65	125	221	5.4%	0.6%	1.2%	2.2%
Borders	1,944	97	9	16	50	5.0%	0.5%	0.8%	2.6%
Dumfries & Galloway	2,264	110	9	2	2	4.9%	0.4%	0.1%	0.1%
Fife	6,804	342	21	14	149	5.0%	0.3%	0.2%	2.2%
Forth Valley	6,132	289	20	17	70	4.7%	0.3%	0.3%	1.1%
Golden Jubilee	15	7	4	2	2	-	-	-	-
Grampian	8,880	291	38	41	130	3.3%	0.4%	0.5%	1.5%
Greater Glasgow & Clyde	44,528	2,273	338	395	934	5.1%	0.8%	0.9%	2.1%
Highland	2,525	89	10	7	23	3.5%	0.4%	0.3%	0.9%
Lanarkshire	25,699	1,079	127	155	334	4.2%	0.5%	0.6%	1.3%
Lothian	19,850	891	104	176	352	4.5%	0.5%	0.9%	1.8%
Orkney	37	4	0	0	0	10.8%	0.0%	0.0%	0.0%
Shetland	158	10	0	0	0	6.3%	0.0%	0.0%	0.0%
Tayside	9,190	453	75	82	157	4.9%	0.8%	0.9%	1.7%
Western Isles	107	8	0	0	0	7.5%	0.0%	0.0%	0.0%
Scotland	138,266	6,489	820	1,032	2,424	4.7%	0.6%	0.7%	1.8%

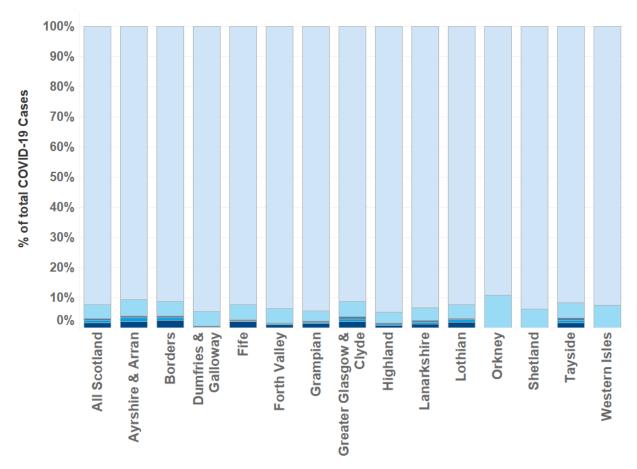
^{1.} Source of data is Electronic Communication of Surveillance in Scotland (ECOSS) data and Rapid Admission Preliminary Inpatient Data (RAPID) data or local admission data.

^{2.} NHS Golden Jubilee has been excluded from the proportions data since data for this board will not be comparable with others due to no "community onset" cases assigned to that board.

^{3.} The data used has not been adjusted for different patient groups and size of NHS board.



Figure 2: Proportion of COVID-19 cases by onset status and NHS board: specimen dates up to 3 January 2021.^{1,2,3}



Hospital Onset Status

- Community Onset
- Non-Hospital Onset
- Indeterminate Hospital Onset
- Probable Hospital Onset
- Definite Hospital Onset
- 1. Source of data is Electronic Communication of Surveillance in Scotland (ECOSS) & Rapid Admission Preliminary Inpatient Data (RAPID) data or local admission data.
- 2. NHS Golden Jubilee has been excluded since data for this board will not be comparable with others due to no "community onset" cases assigned to that board.
- 3. The data used has not been adjusted for different patient groups and size of NHS board.



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Further information

Further Information can be found on the HPS website.

For more information on types of infections included in this report, please see the COVID-19 pages on the HPS website.

The next release of this publication will be 3 February 2021.

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Appendices

Appendix 1 – Publication metadata

Metadata indicator	Description
Publication title	Hospital onset COVID-19 cases in Scotland
Description	This release provides information on hospital onset COVID-19 cases, there is a need for consistent reporting using standardised case definitions.
Theme	Infections in Scotland
Topic	COVID-19
Format	Word document
Data source(s)	COVID-19 Cases:
	Case data source: Electronic Communication of Surveillance in Scotland (ECOSS)
	Admissions Data Source: Rapid Admission Preliminary Inpatient Data (RAPID) or Local Patient Admissions Systems
Date that data are acquired	12 January 2021
Release date	27 January 2021
Frequency	Weekly
Timeframe of data and timeliness	Timeframe of this publication was decided by first positive sample in Scotland (i.e. March 2020) The latest iteration of data is 3 January 2021, therefore the data are 3 or 4 weeks in arrears.
Continuity of data	Weekly updates
Revisions statement	These data are not subject to planned major revisions. However, ARHAI Scotland aims to continually improve the interpretation of the data and therefore analysis methods are regularly reviewed and may be updated in the future.
Revisions relevant to this publication	Data are continually validated by both NHS boards and within the ECOSS laboratory database. ECOSS is a live database and due to possible changes in specimen dates, personal demographics or amendments to COVID-19 test results, retrospective counts for Community onset cases may vary slightly from week to week. Any changes to cases taken within hospital settings, which are validated by NHS boards, are tracked by ARHAI Scotland. Changes to retrospective data for the Non-hospital onset, indeterminate hospital onset, probable hospital onset and definite hospital onset groups are outlined in the table below if applicable. Revisions relevant to this publication for COVID-19 cases taken within hospital settings up to 27 December include:



NHS Board	Hospital Onset Category	Change	Reason for Change	
Forth Valley	Community onset	-1	Review of retrospective data	
	Definite hospital onset	+1	incurred updates to week ending 22/03/2020	
	Community onset	- 5	Review of retrospective data incurred updates to week ending 29/03/2020	
Forth Valley	Non-hospital onset	+1		
	Definite hospital onset	+4		
	Community onset	-8		
Forth Valley	Non-hospital onset	+2	Review of retrospective data	
Forth Valley	Probable hospital onset	+1	incurred updates to week ending 05/04/2020	
	Definite hospital onset	+5		
	Community onset	-9		
Forth Valley	Non-hospital onset	+3	Review of retrospective data incurred updates to week	
1 Oftil Valley	Indeterminate hospital onset	+1	ending 12/04/2020	
	Definite hospital onset	+5		
	Community onset	-3	Review of retrospective data incurred updates to week ending 19/04/2020	
Forth Valley	Probable hospital onset	+1		
	Definite hospital onset	+2		
	Community onset	-1	Review of retrospective data	
Forth Valley	Definite hospital onset	+1	incurred updates to week ending 26/04/2020	
	Community onset	-1	Review of retrospective data	
Forth Valley	Non-hospital onset	+1	incurred updates to week ending 03/05/2020	
Family Malla	Community onset	-1	Review of retrospective data	
Forth Valley	Non-hospital onset	+1	incurred updates to week ending 10/05/2020	
	Community onset	-2	Review of retrospective data	
Forth Valley	Non-hospital onset	+1	incurred updates to week	
	Probable hospital onset	+1	ending 17/05/2020	
Forth Valley	Community onset	-1	Review of retrospective data incurred updates to week	
Forth Valley	Definite hospital onset	+1	ending 24/05/2020	
Forth Valley	Community onset	-1	Review of retrospective data	
	Non-hospital onset	+1	incurred updates to week ending 31/05/2020	
Forth Valley	Community onset	-3		
	Indeterminate hospital onset	+1	Review of retrospective data incurred updates to week ending 07/06/2020	
	Probable hospital onset	+1		
	Definite hospital onset	+1		



	Lanarkshire	Community onset	-5	Review of retrospective data
		Non-hospital onset	+5	incurred updates to week ending 13/09/2020
	Ayrshire & Arran	Community onset	-7	Review of retrospective data
		Non-hospital onset	+6	incurred updates to week
		Definite hospital onset	+1	ending 27/09/2020
	Dumfries &	Community onset	-3	Review of retrospective data
	Galloway	Non-hospital onset	+3	incurred updates to week ending 27/09/2020
	F	Community onset	- 1	Review of retrospective data
	Fife	Non-hospital onset	+1	incurred updates to week ending 27/09/2020
		Community onset	-3	Review of retrospective data
	Forth Valley	Non-hospital onset	+2	incurred updates to week
		Indeterminate hospital onset	+1	ending 27/09/2020
		Community onset	- 23	
	Greater	Non-hospital onset	+ 18	Review of retrospective data
	Glasgow & Clyde	Indeterminate hospital onset	+1	incurred updates to week
		Probable hospital onset	+2	ending 27/09/2020
		Definite hospital onset	+2	
		Community onset	- 20	
		Non-hospital onset	+ 15	Review of retrospective data
	Lanarkshire	Indeterminate hospital onset	+3	incurred updates to week
		Probable hospital onset	+1	ending 27/09/2020
		Definite hospital onset	+1	
				·

Concepts and definitions

The transmission of COVID-19 is thought to occur mainly through respiratory droplets and through contact with contaminated surfaces. <u>Further information on the epidemiology of COVID-19 in healthcare settings can be found on the Health Protection Scotland website.</u> As sustained community transmission has occurred as the pandemic has progressed, it has become more challenging to identify true cases of hospital transmission.

A system for monitoring COVID-19 is critical to tracking nosocomial transmission in healthcare settings to inform infection, prevention and control measures. In response to the Scottish Government's request to ascertain and validate the hospital-onset status of all COVID-19 cases in Scotland, HPS is working with NHS boards to establish a minimum viable dataset to fulfil this request.

The agreed nosocomial case definition for the UK is based on the number of days since admission to an NHS health board to the date of specimen sampling for a positive SARS-CoV-2 RT-PCR test. Time since admission to specimen sampling is categorised as:

- community onset (first positive specimen taken in the community), non-hospital onset (first positive specimen on day 1 or 2 of admission to NHS board)
- indeterminate (first positive specimen on days 3 to 7 of admission to NHS board)
- probable (first positive specimen on days 8 to 14 of admission to NHS board)
- definite hospital onset (first positive specimen date was 15 or more days after admission to NHS board)



These definitions are necessary due to the maximum incubation period of 14 days. See table below:

Day of sampling post admission	Nosocomial categorisation
Before admission	Community onset COVID-19
Day 1 of admission/on admission to NHS board	Non-hospital onset COVID-19
Day 2 of admission	Non-hospital onset COVID-19
Day 3 of admission	Indeterminate hospital onset COVID-19
Day 4 of admission	Indeterminate hospital onset COVID-19
Day 5 of admission	Indeterminate hospital onset COVID-19
Day 6 of admission	Indeterminate hospital onset COVID-19
Day 7 of admission	Indeterminate hospital onset COVID-19
Day 8 of admission	Probable hospital onset COVID-19
Day 9 of admission	Probable hospital onset COVID-19
Day 10 of admission	Probable hospital onset COVID-19
Day 11 of admission	Probable hospital onset COVID-19
Day 12 of admission	Probable hospital onset COVID-19
Day 13 of admission	Probable hospital onset COVID-19
Day 14 of admission	Probable hospital onset COVID-19
Day 15 of admission and onwards to discharge	Definite hospital onset COVID-19
Post discharge	Community onset COVID-19

The hospital onset cases in this report represent cases presenting in hospital and do not include COVID-19 associated with hospital care that present on readmission to hospital or post-discharge.

Cases that are not associated with a Scottish health board were excluded form analysis.

Start point of duration

Admission to health board was agreed as the appropriate point to start counting the duration of hospital stay to first positive specimen date, rather than the date of admission to a single hospital, since patients can be transferred between hospitals which would lead to restarting the clock to 'day 1' each time and therefore underestimating the number of nosocomial infections.

Any discharges and re-admissions which occur within the same calendar day will be classed as a continuous stay; the clock will not be restarted in these instances, only when a readmission occurs on the second day or more after any discharge.

For definite, probable, indeterminate and non-hospital onset (day 1 or 2 of in-patient stay), the NHS board reported is where the first sample was taken, established either using Rapid Admission Preliminary Inpatient Data (RAPID) data and validated by the boards, or using individual NHS board's internal admissions systems. Since the definition of hospital-onset



COVID-19 was determined using date of admission to NHS board, the board assigned may not represent the board of attribution of hospital-onset COVID-19 infection (Table above). For community onset (no admission), the NHS board of residence will be used, according to the Electronic Communications of Surveillance in Scotland (ECOSS) lab surveillance database. Minimum data required to be validated: CHI number Date of positive SARS-CoV-2 RT-PCR test Date of admission to health board when patient tested positive for COVID-19 NHS board where first positive test undertaken Relevance Surveillance data are essential for monitoring trends and assisting in outbreak investigations and key uses and to understand the extent of ongoing transmission within the hospital setting. ARHAI Scotland offers support to NHS boards across Scotland to aid their local COVID-19 of the statistics prevention strategies. It is acknowledged that patients can be transferred between NHS health boards and if Accuracy transferred into a different health board during the same hospital stay, then the clock would be restarted to 'day 1' which could lead to an underestimation of cases. However, the decision to restrict start date to admission to a single NHS health board represents the requirement to report at the health board-level. Any discharges and re-admissions which occur within the same calendar day will be overlooked - the clock will not be restarted in these instances, only when a readmission occurs on the second or more day after any discharge. COVID-19 cases identified after discharge from hospital but within 14 days may be associated with the hospital. These cases, including those identified on readmission to hospital, are not included as hospital onset. This may result in under-reporting of COVID-19 cases associated with hospital care. Completeness Surveillance data are collected using the ECOSS system that allows data collectors in NHS boards to validate ECOSS records as well as identifying additional cases that may not be included in the Electronic Communications of Surveillance in Scotland (ECOSS) system. This therefore means that completeness is near to 100%. NHS Golden Jubilee will be excluded from any views showing the proportion of hospital onset cases due to no non-hospital onset cases being assigned to that board – therefore data for this board will not be comparable with other NHS boards. Comparability The agreed nosocomial case definition for the UK has been adopted to allow comparison across the four nations. However, geographical differences for example NHS board versus NHS Trust have to be considered. Prior to 15 June, the total number of cases reported by Public Health Scotland and the Scottish Government did not include those identified in UK Government Laboratories. The number of cases reported in this report includes cases identified in this scheme so will therefore be higher than what was included in the daily reporting prior to 15 June.



	There may be some differences to NHS board level counts within this report and reports from Public Health Scotland, due to the previously described differing methods in classifying the NHS board.
Accessibility	It is the policy of HPS to make its web sites and products accessible according to published guidelines .
	Link to this reports open data platform can be found here: https://www.opendata.nhs.scot/dataset/hospital-onset-covid-19-cases-in-scotland
Coherence	Previous published reports can be found at:
and clarity	https://beta.isdscotland.org/find-publications-and-data/population-health/covid-19/hospital-onset-covid-19-cases-in-scotland/
Value type and unit of measurement	At National level, the number and proportion of COVID-19 cases which can be classed as definite hospital onset, probable hospital onset, indeterminate hospital onset, non-hospital onset and community onset, and broken down by week using the date of first positive COVID-19 sample.
	NHS board level, the cumulative number and proportion of COVID-19 cases which can be classed as definite hospital onset, probable hospital onset, indeterminate hospital onset, non-hospital onset and community onset, using the date of first positive COVID-19 sample.
	Due to rounding the percentages do not always add up to 100%
Disclosure	The HPS protocol on Statistical Disclosure Control Protocol is followed.
Official Statistics designation	Management Information
UK Statistics Authority Assessment	Not assessed
Last published	NA
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Help email	nss.hpshaiic@nhs.scot
Date form completed	27 January 2021



Appendix 2 - Early access details

Pre-Release Access

Under terms of the "Pre-Release Access to Official Statistics (Scotland) Order 2008", ARHAI Scotland is obliged to publish information on those receiving Pre-Release Access ("Pre-Release Access" refers to statistics in their final form prior to publication). The standard maximum Pre-Release Access is five working days. Shown below are details of those receiving standard Pre-Release Access.

Standard Pre-Release Access:

Scottish Government Health Department

NHS board Chief Executives

NHS board Communication leads