NHS Scotland Assure

Quality in the healthcare environment





National Infection Prevention & Control Manual

IPC for the built healthcare environment

Emma Hooker Lead Healthcare Scientist, ARHAI Scotland





National Infection Prevention & Control Manual (NIPCM)

Outline

- 1. History
- 2. Methodology
- 3. Water



ARHAI Scotland

ARHAI = Antimicrobial Resistance & Healthcare Associated Infection

ARHAI Scotland is responsible for the coordination of national surveillance and reporting of **healthcare associated infections** (HAIs) and the monitoring of **antimicrobial resistance** and antimicrobial prescribing.

- Previously Health Protection Scotland (HPS)
- Formation of NHS Scotland Assure

Responsible for:

-AI Scotland

- Development and maintenance of the NIPCM
- Reactive guidance



History of the NIPCM

- Launched 2012
- Endorsed by Chief Nursing Officer (CNO)
- ARHAI (Antimicrobial Resistance & Healthcare Associated Infection) Scotland
- Best practice for all involved in care provision across all health and care settings in Scotland





NIPCM methodology

NHS

National Services Scotland

ARHAI Scotland

National Infection

Manual

Methodology

Prevention and Control

Publication date: 8 November 2021

Evidence-based

NIPCM Systematic literature review methodology

The IPC team at ARHAI Scotland:

- 15 Healthcare Scientists
- 7 Senior IPC nurses
- 3 Consultant IPC nurses
- 1 Information Officer







Quality in the healthcare environment

NIPCM methodology





Details regarding:

- Working Groups
- Literature review methodology
- Search strategies
- Inclusion/exclusion criteria
- Critical appraisal method
- Grading of recommendations
- Update schedules

Working groups

NHS

National Services Scotland

ARHAI Scotland

National Infection

Manual

Methodology

Prevention and Control

Publication date: 8 November 2021

3 working groups (1 for the built environment)

Representation from:

- Infection control managers
- Infection control nurses
- Infection control doctors
- Microbiologists
- Estates & Facilities
- Health & Safety
- Occupational Health
- Invite: expert groups (linen, decon, dental, neonatal..)
- Lay person



Antimicrobial Resistance and Healthcare Associated Infection



SIGN 50 grading of evidence



Levels of evidence

- The following grades were given to the papers included in this evidence table:
- 1++ High quality meta analyses, systematic reviews of RCTs, or RCTs with a very low risk of bias
- 1+ Well conducted meta analyses, systematic reviews of RCTs, or RCTs with a low risk of bias
- 1- Meta analyses, systematic reviews of RCTs, or RCTs with a high risk of bias

2++ High quality systematic reviews of case-control or cohort studies High quality case-control or cohort studies with a very low risk of confounding, bias, or chance and a high probability that the relationship is causal

- 2+ Well conducted case control or cohort studies with a low risk of confounding, bias, or chance and a moderate probability that the relationship is causal
- 2- Case control or cohort studies with a high risk of confounding, bias, or chance and a significant risk that the relationship is not causal
- <u>3 Non</u>-analytic studies, e.g. case reports, case series
- 4 Expert opinion





NHS National Services Scotland

Excluded

Levels of evidence

The following grades were given to the papers included in this evidence table:

1++ High quality meta analyses, systematic reviews of RCTs, or RCTs with a very low risk of bias

1+ Well conducted meta analyses, systematic reviews of RCTs, or RCTs with a low risk of bias

<u>1</u>- Meta analyses, systematic reviews of RCTs, or RCTs with a high risk of bias

2++ High quality systematic reviews of case-control or cohort studies High quality case-control or cohort studies with a very low risk of confounding, bias, or chance and a high probability that the relationship is causal

2+ Well conducted case control or cohort studies with a low risk of confounding, bias, or chance and a moderate probability that the relationship is causal

2- Case control or cohort studies with a high risk of confounding, bias, or chance and a significant risk that the relationship is not causal

3 Non-analytic studies, e.g. case reports, case series

4 Expert opinion

AGREE appraisal of guidance documents



National

Services Scotland

- For guidance documents
- Final grading of:

NHS Scotland Assure

Quality in the healthcare environment

AGREE REPORTING CHECKLIST

- AGREE 'strongly recommend'
- AGREE 'recommend (with provisos or alterations)'
- 'Would not recommend'
- 'Unsure'

NHS Scotland Assure

Quality in the healthcare environment



NIPCM grading for conclusions/recommendations

Grade	Descriptor	Levels of evidence	
Mandatory	'Recommendations' that are directives from government policy, regulations or legislation	N/A	
Category A	Based on high to moderate quality evidence	SIGN level 1++, 1+, 2++, 2+, AGREE strongly recommend	
Category B	Based on low to moderate quality of evidence which suggest net clinical benefits over harm	SIGN level 2+, 3, 4, AGREE recommend	
Category C	Expert opinion, these may be formed by the Working Groups when there is no robust professional or scientific literature available to inform guidance.	SIGN level 4, or opinion of working group	
No recommendation	Insufficient evidence to recommend one way or another	N/A	



Literature review - steps





Research questions & search strategy

Screening: inclusion/exclusion criteria

Critical appraisal of evidence (SIGN50 + AGREE)

Grading of conclusions + evidence tables

Draft literature review



Literature review - steps





Literature review complete

Draft recommendations (direct from literature review)

Draft recommendations (expert opinion)

Final evidence tables produced

Final recommendations



Chapter 4: IPC in the built environment & decontamination



Areas of development:



Ventilation



Water systems literature review



Infection prevention and control (IPC) related aspects/impacts of the healthcare water system

- Systematic literature review
- NIPCM methodology
- Aim = summary of the extant scientific and grey literature



Research questions

- 37 research questions
- General information about the organisms associated with healthcare water systems
- Prevention and control of infection linked to healthcare water
- Outbreak/incident management
- Organisational Management



Limitations of the evidence base

- Publication bias
- Quality of evidence
- Lack of baseline data



General information

Which organisms can be responsible for water-associated colonisation/infection in healthcare settings?

- ~82 papers included
- Long list of organisms
 - Water/water system as the source
 - Patient/point of use as the source
 - Pseudo-outbreaks



		^a Uni ^b Inst	versity H itute of	Hospita Micro	ils B biolo	irmingha ogy and l	m NHS I Infection	Foundation Trust, (n, The University o	Queen Elizabeth H f Birmingham, Edg	lospital gbaston	Birmingham, Edgbaston, Birmingham, UK , Birmingham, UK	
ARTICLEINFO						S U M M A R Y						
Article history: Received 25 June 2018						1		Background: Pseu gen in immunocom	domonas aerugino: peromised or critic	saisau allvilla	biquitous and important opportunistic patho- patients. Nosocomial <i>P. aeruginosa</i> outbreaks urces. to minimize contamination of water outlets	
		Journal of	f Hospital	Infection	on 11	5 (2021) 75	5-82			_	ected outlets across the intensive care unit at, following artificial contamination with by decontaminated using a thermal washer.	
EL	Available online at www.sciencedirect.com Journal of Hospital Infection						om tion		Healthcare Infection Society	e	veckly from new outlets on the ICU over an umeration of <i>P. aeruginosa</i> via membrane clinical specimens was routinely undertaken. sampling on ICU indicated that 30% of the	
ELSEVIER	jou	urnal homep	age: wv	ww.els	evie	er.com/lo	ocate/jh	in		-	ny one time, and whole genome sequencing m water to patient. Since their installation, s been negative for <i>P. geruginosa</i> , and the	
Sink dra produci ntensiv whole-g	ains as res ng <i>Pseud</i> ve care ur genome se	servoi omona nit: rel equen	rs o <i>1s a</i> latic cing	f V <i>eru</i> on t	IM Igi :0	-2 m inos pati	neta a in ents	ıllo-β-lac a Belgia s investig	tamase- n ated by		fallen by 50%. I tap outlets free of <i>P. aeruginosa</i> can sub- a clinical isolates in an ICU. ublished by Elsevier Ltd. All rights reserved.	
). De Geyt . Wyboª, [er ^{a, *, 1} , R. Va D. Piérard ^a	instokstr	aeten	a, 1	F.	Crom	bé ^a ,	J. Tommasse	en ^b ,			
Department of N russel), Brussels Section Moleculo	Microbiology and Infe 5, Belgium ar Microbiology, Depa	ection Control artment of Bi	, Vrije U ology, Fa	hiversi aculty o	teit of Sc	Brussel (ience, Ut	VUB), Un recht Un	niversitair Ziekenhui niversity, Utrecht, ti	s Brussel (UZ ne Netherlands	_		

Background: Hospital-acquired infections caused by VIM-encoded metallo-\beta-lactamase

Article history

Received 24 March

E. Holden



Water/ water system as probable source

- Legionella spp.
- Non-tuberculous Mycobacteria (M. mucogenicum, M. intracellulare, M. gordonae, M. avium, M. abscessus)









Patient and/or point of use as probable source

- Klebsiella pneumoniae
- Klebsiella oxytoca
- Serratia marcescens
- Enterobacter cloacae
- Citrobacter freundii
- Pantoae agglomerans
- Escherichia coli
- Stenotrophomonas maltophilia
- Pseudomonas aeruginosa





Pseudo-outbreaks



• An increase in identified organisms in patient samples (contamination) but without colonisation or infection in the patients

Example:

Stenotrophomonas maltophilia in bronchoscopy samples from contaminated automated endoscope reprocessor





Water-free care

3 studies with evidence of benefit of water-free patient care

Taps removed, patient care replaced with disposable products

Balance of risks

Option for a water-free pilot study in NHS Scotland

- Patient safety
- Patient comfort
- Sustainability
- Reduction of infection



Areas of contention...

- Testing frequency
- Total viable cell count (TVC) testing
- Testing at commissioning
- Water testing during outbreaks





Prevention & Control

How frequently should routine water testing be conducted?

12 evidence documents

- 6 monthly routine water testing in augmented care units
- Some evidence for increasing the frequency



TVC (total viable cell) testing

- Correlation with pathogenic organisms?
- SHTM 04-01 Part C quarterly
- British Standard PD 855468:2015 2 log difference (100 times greater) than incoming water
- Trend analysis



Prevention & Control

What are the microbiological water testing requirements at commissioning?

- Bringing a new water system into use
- Lack of guidance for microbiological testing
- SHTM 04-01: after disinfection, microbiological testing should be carried out
- *Legionella* testing as per HSG274
- Pseudomonas aeruginosa only if >30 days has elapsed between disinfection and occupation in absence of flushing
- What about other organisms (e.g. Non-tuberculous Mycobacteria)?



Outbreak/incident management

What are the water testing requirements during an incident/outbreak?

- Lack of guidance
- Outbreak reports rush to undertake disinfection
- What can the organism tell us?
- Match between patient and water sample?









Areas for further research/ influence

- Standardised/validated tests for a wider range of organisms
- Tap design (and other components e.g. baths, drains, sinks, flow straighteners...)
- Drains (decontamination)
- Environmental sampling
- Additions to National Cleaning Specification
- Additions to Scottish Health Technical Memoranda



Ventilation

- Systematic literature review in progress
- Focus on environmental sources of infection, not patient-patient transmission





NHS National Services Scotland

ARHAI Scotland publications

- Systematic review on *Cryptococcus* neoformans/Cryptococcus gattii species complex infections with recommendations for practice in health and care setting
- Further publication collaborations NHS Boards and ARHAI Scotland





Quality in the healthcare environment



Thank you

Any questions?

ARHAI Scotland

Emma Hooker Lead Healthcare Scientist ARHAI Scotland